

Savings account application

PRINCIPALITY
BUILDING SOCIETY

150 YEARS
at the *heart* of Wales

1 (a) Your details

Are you an existing customer of the Society? Yes No

If yes, please enter one of your a/c nos.

Name of account applying for
9 Month Fixed Rate Bond (2.20% AER)
2019/2020
PMS

(b) Intermediary details

Name

Tel No.

Firm name and address

Your Principality Intermediary Savings Registration No.

(c) First named saver

Title and full name

Address
Please note we cannot accept PO Box or care of addresses

If less than 3 years at your current address please provide us with your previous addresses on a separate document.

Postcode

Nationality

Date of Birth

Tel No. (home)

(work)

(mobile)

Length of time at current address Years Months

Occupation

(c) Second named saver (If there are three or more applicants please provide details on a separate application form)

Title and full name

Address
Please note we cannot accept PO Box or care of addresses

If less than 3 years at your current address please provide us with your previous addresses on a separate document.

Postcode

Nationality

Date of Birth

Tel No. (home)

(work)

(mobile)

Length of time at current address Years Months

Occupation

2 Interest Instructions

I/We require interest to be (please tick)

Paid direct to my/our bank/building society annually

Paid to my/our bank/building society monthly

Added to the account (annual interest only)

Paid into another Principality account annually

Bank/building society name

Account title

Bank/building society reference

Sort code

Principality Account No.

3 Withdrawal Instructions

I/We require withdrawals to be authorised by:

All of our signatures Any of our signatures (not applicable to trustees, nominees, or personal representatives)

Source of the money going into the account e.g savings, inheritance, salary etc

For office use only

Account number Branch

Type of account Date

Opening amount

4 Declarations

1. Individual saver - I hereby declare that the sum shown opposite is being invested in the Principality by me as sole beneficial owner.
2. Joint savers - We hereby declare that the sum shown opposite is being invested in the Principality by us as joint beneficial owners.
- I/We agree to be bound by the Rules of the Principality Building Society.

£

If you are already a shareholding member of the Principality at the time of signing the form, the following wording in the paragraphs below does not apply to you. Please go to the signature line.

By applying to open the share account after 5th June 2000, I agree with the Principality and the Charities Aid Foundation ("CAF") that I will assign to CAF (or to any charities nominated by it, but to no other person) the rights to any Conversion Benefits which I might become entitled to receive as a shareholding member with the Principality at any time within the seven years immediately following the date on which my share account is opened. This agreement is irrevocable and authorises the Principality to make over to the CAF (or to any charities nominated by it) any such Conversion Benefits without further notice to me. I understand that neither the Principality nor the CAF will release me from this agreement or vary its terms.

For this purpose "Conversion Benefits" means any benefits under the terms of any future transfer of the Principality's business to a company except the statutory right to have shares in the Principality converted into deposits with the company and, if the Principality merges with any other building society, "Society" shall, after the date of such merger, extend to such other society.

- Notes:
- (1) In the event of the death of a joint investor, the account will be vested in the survivor(s).
 - (2) The person named first overleaf will, in the case of a share account, be treated as the Representative Joint Shareholder under the Principality Rules. Joint holders are entitled to choose the order in which they are named.

5 Important - Data Protection Act

The Principality may make searches about you at credit reference agencies who may supply us with information from various data sources including information from the electoral register, for the purpose of verifying your identity to satisfy anti-money laundering requirements. The agencies will record details of the search whether or not your application proceeds. The searches do not include details of your credit history and will not be seen or used by lenders to assess your ability to obtain credit. Alternatively, we may ask you to provide physical forms of identification.

The information provided by you within this form will be held by the Principality in accordance with the Data Protection Act 1998. In addition to using it for processing your application, we will use your information for managing your account, regulatory reporting and to fulfil any request from you for further information regarding our products and services in connection with any application you may make for any other of our products and services. We may from time to time make your information available in confidence to third parties, who carry out statistical analysis for benchmarking purposes on our behalf.

We will check your details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information. We and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities
- Managing credit and credit related accounts or facilities
- Recovering debt
- Checking details on proposals and claims for all types of insurance
- Checking details of job applications and employees

Please contact us at Principality Building Society, Principality Buildings, PO Box 89, Queen Street, Cardiff, CF10 1UA. If you want to receive details of the relevant fraud prevention agencies.

We and other organisations may access and use from other countries the information recorded by fraud prevention agencies.

We are always striving to develop financial products and services to meet our members' needs and we would like to contact you to tell you about other investment products which might be of interest to you. By ticking the relevant boxes below you are requesting that we do so.

We may also provide you with information regarding existing or new products and services (including mortgage lending, savings, general insurance and financial services) offered by the Principality, our associated companies and our carefully selected insurance and financial services partners. Please tick the appropriate box(es) to confirm you are happy to receive this information:-

Mail Telephone Email SMS text message

If you wish to change your mind about receiving information by any of the above methods, please write to Head of Member Services, Principality Building Society, Principality Buildings, PO Box 89, Queen Street, Cardiff, CF10 1UA, or send an email to enquiries@principality.co.uk

You have a right of access to your personal records to ask for any inaccuracies in the data held to be corrected. Should you wish to exercise your right please write to the Data Protection Officer at Principality Building Society, Principality Buildings, PO Box 89, Queen Street, Cardiff, CF10 1UA. You also have the right to apply to credit reference agencies for access to your personal details held by them. We will supply you with the names and addresses of agencies used by the Principality upon request made to the Head of Member Services at Principality Building Society, Principality Buildings, PO Box 89, Queen Street, Cardiff, CF10 1UA.

I/We confirm that I/ we have read the terms and conditions applying to my/our account as set out in (1) the individual product leaflet, (2) the leaflet entitled "Your Savings Guide" and (3) the current Savings Terms and Conditions booklet as well as the sections entitled "Declarations" and "Data Protection Act". I/we further understand that for my/our benefit and protection I/we should read these carefully before signing this declaration and that if I/we do not understand anything in them I /we should ask for further clarification.

Signature(s)

1

2

3

4

Internal use only: Savings account opening checklist

Savings account number

Please check with the customer that they received the following items prior to the appointment:

- Product leaflet
- Proving your identity leaflet
- Savings Rate Guide

If not, give these to the customer along with the items below. This can be during the appointment but **before point of sale**:

- Current Terms and Conditions leaflet
- Fees and Charges leaflet
- Banking Code leaflet
- Summary Financial Statement (new investors only)
- How we calculate interest rates (pdf only, if requested)

- Have you told the customer about his/her 'cooling' off rights?
- Have you told the customer that we treat his/her information as private and confidential unless we are obliged to do so for a particular reason or we have his/her consent?
- If the account opened was a joint account, were the rights and responsibilities of joint account holders in the current Investment terms and conditions pointed out to the customer(s)?
- Have you told the customer about how we will notify him/her about changes in:-
 - (a) Interest rates, and
 - (b) Terms and Conditions?

Cashier's Signature:

Checked by:

Savings account application

**PRINCIPALITY
BUILDING SOCIETY**

1 (a) Your details

Are you an existing customer of the Society? Yes No

If yes, please enter one of your a/c nos.

Name of account applying for
5 Year Fixed Rate Bond (4.60% AER)
2013/2014
PMS

(b) Intermediary details

Name

Tel No.

Firm name and address

Your Principality Intermediary Savings Registration No.

(c) First named saver

Title and full name

Address
Please note we cannot accept PO Box or care of addresses

If less than 3 years at your current address please provide us with your previous addresses on a separate document.

Postcode

Nationality

Date of Birth

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(work)

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We will check your details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information. We and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities
- Managing credit and credit related accounts or facilities
- Recovering debt
- Checking details on proposals and claims for all types of insurance
- Checking details of job applications and employees

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Signature(s)

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Internal use only: Savings account opening checklist

Savings account number

	Yes		Yes
Please check with the customer that they received the following items prior to the appointment:		• Have you told the customer about his/her 'cooling' off rights?	<input type="checkbox"/>
• Product leaflet	<input type="checkbox"/>	• Have you told the customer that we treat his/her information as private and confidential unless we are obliged to do so for a particular reason or we have his/her consent?	<input type="checkbox"/>
• Proving your identity leaflet	<input type="checkbox"/>	• If the account opened was a joint account, were the rights and responsibilities of joint account holders in the current Investment terms and conditions pointed out to the customer(s)?	<input type="checkbox"/>
• Savings Rate Guide	<input type="checkbox"/>	• Have you told the customer about how we will notify him/her about changes in:-	
If not, give these to the customer along with the items below. This can be during the appointment but before point of sale :		(a) Interest rates, and	<input type="checkbox"/>
• Current Terms and Conditions leaflet	<input type="checkbox"/>	(b) Terms and Conditions?	<input type="checkbox"/>
• Fees and Charges leaflet	<input type="checkbox"/>		
• Banking Code leaflet	<input type="checkbox"/>		
• Summary Financial Statement (new investors only)	<input type="checkbox"/>		
• How we calculate interest rates (pdf only, if requested)	<input type="checkbox"/>		

Cashier's Signature: Checked by: